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TO: _____ **Commissioner for Patents**

FAX NO.: 703-872-9306

DATE: September 28, 2004

MATTER: Serial No. 10/823,364 Filed: April 12, 2004

DOCKET NO.: 8756/ETCH/DICP

APPLICANT: Shannon, et al.

The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile:

- Petition
 Disclosure Statement & PTO-1449
 Priority Document
 Drawings (sheets) informal
 Amendment
 Preliminary Amendment (6 pages)
 Two (2) sheets of replacement drawings

Transmittal Letter (2 copies)
 Fee Transmittal (2 copies)
 Deposit Account Transaction
 Facsimile Transmission Certificate
dated September 28, 2004

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being transmitted by facsimile to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 28, 2004. Facsimile No. (703) 872-9306.

Allyson M. DeVestry
Name of person signing this certificate

Allison M. DeVesty 9-28-04
Signature and date

305104

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PRELIMINARY AMENDMENT
Serial No. 10/823,364
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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant: **Shannon, et al.**

Case: **8756/ETCH/DICP**

Serial No.: **10/823,364**

Filed: **April 12, 2004**

Examiner: **Not yet assigned**

Group Art Unit: **Not yet assigned**

Confirmation No.: **Not yet assigned**

**TITLE: PLASMA CONTROL USING DUAL CATHODE FREQUENCY
MIXING**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

PRELIMINARY AMENDMENT

Please enter the following Preliminary Amendment. The Applicants believe no fee is due in connection with this amendment. The Commissioner is hereby authorized to charge Deposit Account No. 20-0782 for any fees, including extension of time fees or excess claim fees, required to make this response timely and acceptable to the Office.

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